

Fall 2021

# Department of Health Update

# Facility and Survey Data thru June 30,2021

## Facilities

- ▼ 690 Facilities
- ▼ 87,492 Beds

## Surveys Completed

- ▼ 2,403 Total surveys
- ▼ 274 Re-licensure/recertification surveys (Full Health Surveys)
- ▼ 659 Revisits (to all types of surveys)
- ▼ 2,011 On-site visits (includes complaint visits and COVID-19 surveys)
- ▼ 478 COVID-19 Surveys (can include complaint surveys)

# Facility and Survey Data 2020

## Facilities

- ▀ 693 Facilities
- ▀ 87,836 Beds

## Surveys Completed

- ▀ 5,174 Total surveys
- ▀ 274 Re-licensure/recertification surveys (Full Health Surveys)
- ▀ 930 Revisits (to all types of surveys)
- ▀ 4,203 On-site visits (includes complaint visits and COVID-19 surveys)
- ▀ 2,107 COVID-19 Surveys (can include complaint surveys)

# Facility and Survey Data 2019

## Facilities

- ▣ 695 Facilities
- ▣ 87,828 Beds

## Surveys Completed

- ▣ 4,836 Total surveys
- ▣ 741 Re-licensure/recertification surveys (Full Health Surveys)
- ▣ 1,618 Revisits (to all types of surveys)
- ▣ 4,022 On-site visits (includes complaint visits)

# Frequency of DNCF Visits thru June 30, 2021

<b>Number of Visits</b>	<b>% of PA facilities</b>
20 +	0.00%
10 to 19	1.74%
6 to 9	10.00%
<i>2 to 5</i>	<i>58.70%</i>
1	20.00%
0	9.57%

# Frequency of DNCF Visits 2020

## Number of Visits

## % of PA facilities

20 +

0.14%

10 to 19

14.29%

6 to 9

35.06%

*2 to 5*

*48.05%*

1

2.02%

0

0.43%

# Frequency of DNCF Visits 2019

## Number of Visits

## % of PA facilities

20 +

0.43%

10 to 19

16.69%

6 to 9

24.89%

*2 to 5*

*48.06%*

1

9.50%

0

0.43%

# Surveys with Scope & Severity D & Above

	<u>1<sup>st</sup> 1/2</u> <u>2021</u>	<u>2020</u>	<u>2019</u>	<u>2018</u>
Standard Surveys	256	250	674	657
Complaint Surveys	416	680	1,043	908
Substandard Quality of Care	13	10	19	19
Immediate Jeopardy Tags	22	19	30	22



# Statewide Deficiency Free Surveys

1<sup>st</sup> 1/2 2021: 18 Full Health Surveys were deficiency free

2020: 20 Full Health Surveys were deficiency free

2019: 56 Full Health Surveys were deficiency free

2018: 61 Full Health Surveys were deficiency free

# State Licensure Sanctions

## Civil Penalty

May impose up to \$500 for each deficiency for each day that each deficiency continues.

# State Licensure Sanctions

## Provisional License

- imposed for up to 6 months
- must have a licensure survey prior to the expiration of the provisional license
- show improvement to return to a regular license
- if no improvement, may move to Provisional II (III, of IV – in order)

# Provisional Licenses Issued

1<sup>st</sup> ½ 2021 – 5

2016 – 39

2020 – 9

2015 – 19

2019 – 41

2014 – 9

2018 – 3

2013 – 2

2017 – 35

2012 – 2

# State Actions

Total state actions for 1<sup>st</sup> 1/2 2021

Total state actions for 2020

Total state actions for 2019

Total state actions for 2018

PI/CP= 0  
 PII/CP= 1  
 PIII/CP= 1  
 PIV/CP = 0  
 PI only= 0  
 PII only= 2  
 PIII only = 1  
 PIV only= 0  
 CP only= 22  
 Total = 27

PI/CP= 7  
 PII/CP= 2  
 PIII/CP= 0  
 PIV/CP = 0  
 PI only= 0  
 PII only= 0  
 PIII only = 0  
 PIV only= 0  
 CP only= 33  
 Total = 42

PI/CP= 31  
 PII/CP= 2  
 PIII/CP= 0  
 PIV/CP = 0  
 PI only= 3  
 PII only= 5  
 PIII only = 0  
 PIV only= 0  
 CP only= 145  
 Ban = 1  
 Total = 187

PI/CP= 1  
 PII/CP= 0  
 PIII/CP= 0  
 PIV/CP = 0  
 PI only= 2  
 PII only= 0  
 PIII only = 0  
 PIV only= 0  
 CP only= 184  
 Total = 187

PI=Provisional I license  
 PII=Provisional II license  
 PIII=Provisional III license

PIV = Provisional IV license  
 Ban = Ban on Admissions  
 CP=Civil Penalty

# 1<sup>st</sup> 1/2 2021 Complaint Data

## Complaint Data

- Total received= 1,972
- Total substantiated= 630  
(31.95%)
- Onsite investigations conducted= 1,965 (99.65%)
- Substantiated complaints with citations issued at "G" or above= 48 (2.43%)

## Most Frequently Filed

- Care or Services 65.30%
- Resident Rights 17.77%
- Environment 9.58%

## Complaint Tags

- Total tags cited related to complaints = 501
- Highest S/S cited during complaint surveys = L

# 2020 Complaint Data

## Complaint Data

- Total received= 5,077
- Total substantiated= 1,303  
(35.94%)
- Onsite investigations conducted= 4,689 (92%)
- Substantiated complaints with citations issued at "G" or above= 54 (1.06%)

## Most Frequently Filed

- Care or Services 67.50%
- Resident Rights 15.25%
- Environment 10.10%

## Complaint Tags

- Total tags cited related to complaints = 734
- Highest S/S cited during complaint surveys = L

# 2019 Complaint Data

## Complaint Data

- Total received= 3,932
- Total substantiated= 1,413  
(35.94%)
- Onsite investigations conducted= 3,857 (98%)
- Substantiated complaints with citations issued at "G" or above= 75 (1.91%)

## Most Frequently Filed

- Care or Services 65.15%
- Environment 13.19%
- Resident Rights 12.85%

## Complaint Tags

- Total tags cited related to complaints = 1,191
- Highest S/S cited during complaint surveys = L



# Frequently Cited Tags

Listed below are the top 5 most frequently cited tags in order from most cited.

<u>1<sup>st</sup> 1/2 2021</u>	<u>2020</u>	<u>2019</u>	<u>2018</u>	<u>2017</u>
F684	F880	F689	F684	F309
F880	F684	F684	F689	F323
F812	F689	F880	F880	F441
F689	F812	F656	F812	F514
F761	F842	F812	F842	F371

F684 / F309  
 F880 / F441  
 F842 / F514  
 F689 / F323  
 F812 / F371  
 F656 / F279  
 F761 / F431

= QUALITY OF CARE  
 = INFECTION PREVENTION & CONTROL  
 = RESIDENT RECORDS - IDENTIFIABLE INFORMATION  
 = FREE OF ACCIDENT HAZARDS/SUPERVISION/DEVICES  
 = FOOD PROCUREMENT, STORE/PREPARE/SERVE - SANITARY  
 = DEVELOP/IMPLEMENT COMPREHENSIVE CAREPLAN  
 = BIOLOGICALS

# 1<sup>st</sup> Half 2021 Incidents

- Total number of incident reports received:  
19,573
- Most Frequently reported events
  - ▣ Reportable Disease – 7,554
  - ▣ Transfer to Hospital – 4,926
  - ▣ Other – 2,384

# 2020 Incidents

- Total number of incident reports received:  
49,243
- Most Frequently reported events
  - ▣ Reportable Disease – 24,116
  - ▣ Transfer to Hospital – 9,839
  - ▣ Other – 6,349

# 2019 Incidents

- Total number of incident reports received:  
29,800
- Most Frequently reported events
  - ▣ Transfer to Hospital – 11,454
  - ▣ Other – 5,655
  - ▣ Abuse – 5,252

# ▶ Additional Updates

# Questions?

